

# CONVEXITY AND RIGIDITY OF HYPERSURFACES IN CARTAN-HADAMARD MANIFOLDS

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ABSTRACT. We show that in Cartan-Hadamard manifolds  $M^n$ ,  $n \geq 3$ , closed infinitesimally convex hypersurfaces  $\Gamma$  bound convex flat regions if curvature of  $M^n$  vanishes on tangent planes of  $\Gamma$ . This encompasses Chern-Lashof-Sacksteder characterization of compact convex hypersurfaces in Euclidean space, and some results of Greene-Wu-Gromov on rigidity of Cartan-Hadamard manifolds. It follows that closed simply connected surfaces in  $M^3$  with minimal total absolute curvature bound Euclidean convex bodies, as stated by Gromov in 1985. The proofs employ the Gauss-Codazzi equations, a generalization of Schur comparison theorem to  $\text{CAT}(k)$  spaces, and other techniques from Alexandrov geometry outlined by Petrunin.

## 1. INTRODUCTION

A  $\text{CAT}^n(k_{\leq 0})$  manifold  $M$  is a metrically complete simply connected Riemannian  $n$ -space with curvature  $K_M \leq k \leq 0$ , and *locally convex* boundary  $\partial M$ . The last condition means that, when  $\partial M \neq \emptyset$ , the second fundamental form of  $\partial M$  is positive semidefinite with respect to the outward normal. When  $\partial M = \emptyset$ ,  $M$  is known as a *Cartan-Hadamard* manifold. A subset of  $M$  is *convex* if it contains the geodesic connecting every pair of its points, and is called a *convex body* if it also has nonempty interior. A hypersurface  $\Gamma$  in  $M$  is *convex* if it bounds a convex body. We say  $\Gamma$  is *infinitesimally convex* if its principal curvatures do not assume opposite signs at any point, or its sectional curvatures  $K_\Gamma \geq K_M$  on every tangent plane. Chern-Lashof-Sacksteder [19, 20, 42] and do Carmo-Warner [23] showed, respectively, that infinitesimally convex closed hypersurfaces immersed in Euclidean space  $\mathbf{R}^n$  or hyperbolic space  $\mathbf{H}^n$ ,  $n \geq 3$ , are convex. We extend these results to  $\text{CAT}^n(k_{\leq 0})$  manifolds. A region  $X$  of  $M$  is *k-flat* if  $K_M \equiv k$  on  $X$ .

**Theorem 1.1.** *Let  $\Gamma$  be a closed infinitesimally convex  $\mathcal{C}^n$  hypersurface immersed in a  $\text{CAT}^n(k_{\leq 0})$  manifold  $M$ ,  $n \geq 3$ . Suppose that  $K_M \equiv k$  on tangent planes of  $\Gamma$ . Then  $\Gamma$  bounds a  $k$ -flat convex body. In particular  $\Gamma$  is an embedded sphere.*

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If  $K_M \equiv k$  outside a compact set  $X$  in  $M$ , and  $\partial M = \emptyset$ , then letting  $\Gamma$  in the above theorem be a sphere enclosing  $X$  yields that  $K_M \equiv k$  everywhere. Thus Theorem 1.1 also extends some of the “gap theorems” [44, 46] first obtained by Greene-Wu [28] and Gromov [11, Sec. 5]. In the case where  $n = 3$  and  $\Gamma$  is *strictly convex*, i.e., the second fundamental form  $\mathbb{I}_\Gamma$  is positive definite, the above result was established by the author and Spruck [26], generalizing earlier work of Schroeder-Strake [45] for  $k = 0$ . Kleiner [31, p. 42] had also observed a version of the above theorem when  $n = 3$  and  $\Gamma$  has constant mean curvature, via a result of Schroeder-Ziller [44, Thm. 7] which again requires strict convexity and also negative curvature. Next result is an intrinsic version of Theorem 1.1. Let  $\mathcal{M}_k^n$  be the *model space*, or complete simply connected  $n$ -manifold, of constant curvature  $k \leq 0$ .

**Theorem 1.2.** *Let  $M^n$ ,  $n \geq 3$ , be a compact simply connected manifold with infinitesimally convex  $C^n$  boundary  $\Gamma$ , and curvature  $K_M \leq k \leq 0$  with  $K_M \equiv k$  on tangent planes of  $\Gamma$ . Suppose that each component of  $\Gamma$  is simply connected and contains a point where a principal curvature with respect to the outward normal is positive. Then  $M$  is isometric to a convex body in  $\mathcal{M}_k^n$ . In particular  $M$  is homeomorphic to a ball.*

Conditions in the second sentence of the last theorem ensure that  $M$  is not a tubular neighborhood of a closed geodesic, or the complement of a small open ball in a compact space form; see [30] for other examples of nonpositively curved manifolds with concave boundary. Theorem 1.1 has the following application. Let  $GK := \det(\mathbb{I}_\Gamma)$  denote the *Gauss-Kronecker* curvature of a surface  $\Gamma$  in a Riemannian 3-manifold. The *total curvature* and *total absolute curvature* of  $\Gamma$  are given respectively by

$$\mathcal{G}(\Gamma) := \int_\Gamma GK, \quad \text{and} \quad \tilde{\mathcal{G}}(\Gamma) := \int_\Gamma |GK|.$$

Hypersurfaces which minimize  $\tilde{\mathcal{G}}$  in a topological class are called *tight* [17], and have been studied extensively in  $\mathbf{R}^n$  since Alexandrov [10]. Let  $|\Gamma|$  denote the area of  $\Gamma$ .

**Corollary 1.3.** *Let  $\Gamma$  be a closed simply connected  $C^3$  surface immersed in a  $\text{CAT}^3(k \leq 0)$  manifold. Then*

$$(1) \quad \tilde{\mathcal{G}}(\Gamma) \geq 4\pi - k|\Gamma|,$$

*with equality only if  $\Gamma$  bounds a  $k$ -flat convex body.*

*Proof.* Let  $M$  be the ambient space, and  $K_\Gamma$  denote the sectional curvature of  $\Gamma$ . By Gauss’ equation, at every point  $p \in \Gamma$ ,

$$(2) \quad GK(p) = K_\Gamma(p) - K_M(T_p\Gamma) \geq K_\Gamma(p) - k,$$

where  $T_p\Gamma$  is the tangent plane of  $\Gamma$  at  $p$ . Since  $\Gamma$  is simply connected,  $\int_{\Gamma} K_{\Gamma} = 4\pi$  by Gauss-Bonnet theorem. Thus

$$(3) \quad \tilde{\mathcal{G}}(\Gamma) \geq \mathcal{G}(\Gamma) = 4\pi - \int_{\Gamma} K_M(T_p\Gamma) \geq 4\pi - k|\Gamma|.$$

Equality in (1) forces equalities in (3). In particular  $\tilde{\mathcal{G}}(\Gamma) = \mathcal{G}(\Gamma)$ , which yields  $GK \geq 0$  everywhere. So  $\Gamma$  is infinitesimally convex. Furthermore  $\int_{\Gamma} K_M(T_p\Gamma) = k|\Gamma|$ , which yields  $K_M(T_p\Gamma) = k$  for all  $p \in \Gamma$ . Now Theorem 1.1 completes the proof.  $\square$

For  $\Gamma$  strictly convex, the last result was established in [26, Cor. 1.2]. For surfaces in  $\mathbf{H}^3$ , the weaker inequality  $\tilde{\mathcal{G}}(\Gamma) \geq 4\pi + |\Gamma_0|$ , where  $\Gamma_0$  denotes the boundary of the convex hull of  $\Gamma$ , had been known earlier [34, Prop. 2]. For surfaces in  $\mathbf{R}^3$ , Corollary 1.3 dates back to Chern-Lashof [19, 20], who showed that  $\tilde{\mathcal{G}}(\Gamma) \geq 2\pi(2 + 2g)$ , where  $g$  is the topological genus of  $\Gamma$ . In 1966 Willmore-Saleemi [51] conjectured that the Chern-Lashof inequality holds in  $\text{CAT}^3(0)$  manifolds; however, Solanes [47] constructed closed surfaces  $\Gamma$  in  $\mathbf{H}^3$  of every genus  $g \geq 1$  with  $\tilde{\mathcal{G}}(\Gamma) \approx 8\pi$ . In these examples  $|\Gamma| \approx 2\pi(2g + 2)$ , which shows that (1) does not hold for  $g \geq 1$ . So Corollary 1.3 is topologically sharp.

In 1985 Gromov [11, p. 66 (b)] proposed that for all closed surfaces  $\Gamma$  in a  $\text{CAT}^3(0)$  manifold,  $\tilde{\mathcal{G}}(\Gamma) \geq 4\pi$  with equality only if  $\Gamma$  bounds a 0-flat convex body. Corollary 1.3 settles this problem for  $g = 0$ . For  $g \geq 1$ , we show in Section 5 that the inequality  $\tilde{\mathcal{G}}(\Gamma) \geq 4\pi$  still holds; however, we cannot prove that  $\Gamma$  is convex when equality holds.

Proof of Theorem 1.1 follows an approach suggested by Petrunin [37]. We first use the Gauss-Codazzi equations in Section 2 to show that  $\Gamma$  is isometric to a hypersurface  $\Gamma'$  in  $\mathcal{M}_k^n$  with the same second fundamental form. It follows from characterizations of convex hypersurfaces by Sacksteder [42], and Alexander [2] that  $\Gamma$  and  $\Gamma'$  are both convex. Next in Section 3 we generalize Schur's comparison theorem to  $\text{CAT}^n(k_{\leq 0})$  manifolds via Reshetnyak's majorization theorem [39]. This result is used to show in Section 4 that the isometry  $\Gamma \rightarrow \Gamma'$  preserves extrinsic distances. It follows from the generalization of Kirszbraun's extension theorem by Lang-Schroeder [33] that the mapping  $\Gamma \rightarrow \Gamma'$  extends to an isometry of the convex bodies bounded by these hypersurfaces. Theorem 1.2 is proved similarly.

## 2. IMMERSION INTO MODEL SPACES

Here we use the fundamental theorem of Riemannian hypersurfaces [22, 48] to immerse  $\Gamma$  in Theorems 1.1 and 1.2 into the model space  $\mathcal{M}_k^n$ . Let  $M^n$  be a Riemannian  $n$ -manifold with connection  $\nabla$  and metric  $\langle \cdot, \cdot \rangle$ . The curvature operator of  $M$  is given by  $R(X, Y)Z := \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z$ , for vector fields  $X, Y, Z$  on  $M$ . The

sectional curvature of  $M$  with respect to a plane  $\sigma \subset T_p M$  is defined as

$$K(\sigma) = K(X, Y) := \frac{\langle R(X, Y)Y, X \rangle}{|X \times Y|^2},$$

where  $X, Y$  span  $\sigma$ , and  $|X \times Y| := (\langle X, X \rangle \langle Y, Y \rangle - \langle X, Y \rangle^2)^{1/2}$ . Let  $\Gamma$  be a  $\mathcal{C}^2$  immersed hypersurface in  $M$ . The *shape operator* and the *second fundamental form* of  $\Gamma$  with respect to a (continuous) unit normal vector field  $N$  are given by

$$A(X) := -\nabla_X(N), \quad \text{and} \quad \mathbb{I}_\Gamma(X, Y) := \langle A(X), Y \rangle,$$

respectively, for tangent vector fields  $X, Y$  on  $\Gamma$ . The *principal curvatures* of  $\Gamma$  with respect to  $N$  are eigenvalues of  $A$ . Let  $M'$  be another Riemannian  $n$ -manifold,  $f: \Gamma \rightarrow M'$  be an immersion, and set  $\Gamma' := f(\Gamma)$ . We say  $f$  is *isometric*, or  $\Gamma \xrightarrow{f} \Gamma'$  is an isometry, if  $\langle X, Y \rangle_M = \langle df(X), df(Y) \rangle_{M'}$ ; furthermore,  $f$  *preserves*  $\mathbb{I}_\Gamma$ , or  $\Gamma$  and  $\Gamma'$  have *the same second fundamental form*, if  $\mathbb{I}_\Gamma(X, Y) = \mathbb{I}_{\Gamma'}(df(X), df(Y))$ , with respect to some normal vector fields.

**Proposition 2.1.** *Let  $\Gamma$  be a simply connected  $\mathcal{C}^{\alpha \geq 3}$  hypersurface immersed in a Riemannian manifold  $M^n$ ,  $n \geq 3$ . Suppose that for all points  $p \in \Gamma$  and planes  $\sigma \subset T_p M$ ,  $K_M(\sigma) \leq k \leq 0$  with  $K_M(\sigma) = k$  if  $\sigma \subset T_p \Gamma$ . Then there exists a  $\mathcal{C}^\alpha$  isometric immersion  $\Gamma \rightarrow \mathcal{M}_k^n$  which preserves  $\mathbb{I}_\Gamma$ .*

We always assume that  $k \leq 0$  in this work. First we need to show:

**Lemma 2.2.** *Let  $p \in M$  be a point such that  $K(\sigma) \leq k$  for all planes  $\sigma \subset T_p M$ . Suppose that there exists a hyperplane  $H \subset T_p M$  such that  $K(\sigma) = k$  for all planes  $\sigma \subset H$ . Then for every pair of vectors  $X, Y \in H$ , and orthogonal vector  $N$  to  $H$ ,  $R(X, Y)N = 0$ .*

*Proof.* It is enough to check that  $\langle R(X, Y)N, Z \rangle = 0$  for every vector  $Z \in H$ , since  $\langle R(X, Y)N, N \rangle = 0$ . Let  $X_t := X + tN$  and  $\sigma_t$  be the plane spanned by  $X_t$  and  $Y$ . Then

$$\langle R(X_t, Y)Y, X_t \rangle = K(\sigma_t)|X_t \times Y|^2 \leq k|X \times Y|^2 = \langle R(X, Y)Y, X \rangle.$$

So  $t = 0$  is a critical point of  $t \mapsto \langle R(X_t, Y)Y, X_t \rangle$ , which yields

$$\langle R(X, Y)Y, N \rangle = \frac{1}{2} \frac{d}{dt} \Big|_{t=0} \langle R(X_t, Y)Y, X_t \rangle = 0.$$

It follows that

$$0 = \langle R(X, Y + Z)(Y + Z), N \rangle = \langle R(X, Y)Z, N \rangle + \langle R(X, Z)Y, N \rangle.$$

So  $\langle R(X, Y)Z, N \rangle = \langle R(Z, X)Y, N \rangle$ , which yields  $\langle R(Z, X)Y, N \rangle = \langle R(Y, Z)X, N \rangle$  by switching  $X$  and  $Y$ . Thus we have

$$\langle R(X, Y)Z, N \rangle = \langle R(Y, Z)X, N \rangle = \langle R(Z, X)Y, N \rangle.$$

By the first Bianchi identity, the sum of these quantities is zero. So they vanish.  $\square$

Let  $X, Y, Z$  be tangent vector fields and  $N$  be a normal vector field on a hypersurface  $\Gamma$  immersed in  $M$ . Furthermore let  $\bar{\nabla}$  be the induced connection and  $\bar{R}$  denote the Riemann curvature operator of  $\Gamma$ . The covariant derivative of the shape operator  $A$  is defined as  $(\bar{\nabla}_X A)(Y) := \bar{\nabla}_X(A(Y)) - A(\bar{\nabla}_X Y)$ . Let  $(\cdot)^\top$  denote the tangential component with respect to  $\Gamma$ , and set  $(X \wedge Y)Z := \langle Y, Z \rangle X - \langle X, Z \rangle Y$ . The Gauss-Codazzi equations [22, p. 24] for  $\Gamma$  are

$$(4) \quad \bar{R}(X, Y)Z = (R(X, Y)Z)^\top + (A(X) \wedge A(Y))Z,$$

$$(5) \quad R(X, Y)N = (\bar{\nabla}_Y A)(X) - (\bar{\nabla}_X A)(Y).$$

Now we are ready to establish the main result of this section:

*Proof of Proposition 2.1.* Let  $X, Y, Z$  be tangent vector fields and  $N$  be a normal vector field on  $\Gamma$ . By Lemma 2.2,  $R(X, Y)N = 0$  which yields  $(R(X, Y)Z)^\top = R(X, Y)Z$ . Furthermore, since  $K_M \equiv k$  on tangents planes of  $\Gamma$ , we have  $R(X, Y) = kX \wedge Y$ . Thus (4) and (5) reduce to

$$\begin{aligned} \bar{R}(X, Y)Z &= k(X \wedge Y)Z + (A(X) \wedge A(Y))Z, \\ (\bar{\nabla}_Y A)X &= (\bar{\nabla}_X A)Y. \end{aligned}$$

These are the Gauss-Codazzi equations if  $\Gamma$  was immersed in  $\mathcal{M}_k^n$  [22, p. 24]. Now the fundamental theorem for hypersurfaces [22, Thm. 2.1(i)] completes the proof.  $\square$

**Note 2.3.** The  $\mathcal{C}^3$  assumption in Proposition 2.1 provides the minimum regularity required to express the Gauss-Codazzi equations; however,  $\mathcal{C}^2$  or even  $\mathcal{C}^{1,1}$  regularity might be enough, where the Gauss-Codazzi equations would hold in an integral or distributional sense. See [29, 36] where this approach has been worked out in  $\mathbf{R}^3$ .

### 3. SCHUR'S COMPARISON THEOREM

Here we generalize Schur's comparison theorem for curves in  $\mathbf{R}^n$  [18, 50], which is sometimes called the ‘‘bow lemma’’ [38], to  $\text{CAT}^n(k_{\leq 0})$  manifolds. A partial extension of Schur's theorem to  $\mathbf{H}^n$  was studied by Epstein [24], and the polygonal version, known as Cauchy's ‘‘arm lemma’’ [1], holds in  $\text{CAT}(k_{\leq 0})$  spaces [5]. We begin by reviewing the basic notions of Alexandrov geometry [6, 14, 15] which we need.

Let  $\mathcal{X}$  be a metric space. The distance between a pair of points  $p, q \in \mathcal{X}$  is denoted by  $|pq|$  or  $|pq|_{\mathcal{X}}$ . A *curve* is a continuous map  $\gamma: [a, b] \rightarrow \mathcal{X}$ . We also use  $\gamma$  to refer to its image  $\gamma([a, b])$ . The *length* of  $\gamma$ , denoted by  $|\gamma|$ , is the supremum of  $\sum |\gamma(t_i)\gamma(t_{i+1})|$  over all partitions  $a = t_0 \leq \dots \leq t_N = b$  of  $[a, b]$ . If  $|\gamma| = |\gamma(a)\gamma(b)|$  then  $\gamma$  is a *geodesic*. We say  $\mathcal{X}$  is a *geodesic space* if every pair of points  $p, q \in \mathcal{X}$  can be joined by a geodesic. If these geodesics are unique (up to reparametrization) they will be denoted by  $pq$ , and  $\mathcal{X}$  is called a *uniquely geodesic space*. A geodesic space  $\mathcal{X}$  is  $\text{CAT}(k_{\leq 0})$  if

every (geodesic) triangle  $\Delta$  in  $\mathcal{X}$  is  $k$ -thin, i.e., if  $\Delta' \subset \mathcal{M}_k^2$  is a triangle with side lengths equal to those of  $\Delta$ , then the distance between any pairs of points of  $\Delta$  does not exceed that of the corresponding points in  $\Delta'$ . Every  $\text{CAT}(k_{\leq 0})$  space is uniquely geodesic. The local convexity assumption on the boundary of a  $\text{CAT}^n(k_{\leq 0})$  manifold  $M$  ensures that small triangles in  $M$  are  $k$ -thin [7], or  $M$  is locally  $\text{CAT}(k_{\leq 0})$ . Since  $M$  is simply connected, it follows from the generalized Cartan-Hadamard theorem [8, 14, 15] that  $M$  is a  $\text{CAT}(k_{\leq 0})$  space. Thus  $\text{CAT}^n(k_{\leq 0})$  manifolds are uniquely geodesic.

A curve  $\gamma: [a, b] \rightarrow \mathcal{X}$  has *unit speed* if  $|\gamma|_{[t,s]} = t - s$  for all  $a \leq t \leq s \leq b$ . The *chord* of  $\gamma$  is the geodesic  $\gamma(a)\gamma(b)$ . We say  $\gamma: [a, b] \rightarrow \mathcal{M}_k^2$  is *chord-convex* if  $\gamma$  together with its chord forms a *convex curve*, i.e., the boundary of a convex body.

**Theorem 3.1** (Generalized Schur's Comparison). *Let  $\gamma_1: [0, \ell] \rightarrow \mathcal{M}_k^2$ ,  $\gamma_2: [0, \ell] \rightarrow M$ , where  $M$  is a  $\text{CAT}^n(k_{\leq 0})$  manifold, be  $\mathcal{C}^2$  unit speed curves, and  $\kappa_1, \kappa_2$  denote their geodesic curvatures respectively. Suppose that  $\gamma_1$  is chord-convex, and  $\kappa_2(t) \leq \kappa_1(t)$  for all  $t \in [0, \ell]$ . Then  $|\gamma_2(0)\gamma_2(\ell)| \geq |\gamma_1(0)\gamma_1(\ell)|$ .*

We need the following well-known result. Let  $\gamma: [0, \ell] \rightarrow \mathcal{X}$  be a unit speed curve, which is *closed*, i.e.,  $\gamma(0) = \gamma(\ell)$ . Let  $\bar{\gamma}: [0, \ell] \rightarrow \mathcal{M}_k^2$  be another unit speed curve which bounds a convex body  $C$ . A *nonexpanding* (or 1-Lipschitz) map from a subset of a metric space into another is a map which does not increase distances. We say that  $\bar{\gamma}$  *majorizes*  $\gamma$  provided that there exists a nonexpanding map  $f: C \rightarrow \mathcal{X}$  with  $f \circ \bar{\gamma} = \gamma$ . The curve  $\bar{\gamma}$  has also been called an “unfolding” [16, 27] or “chord-stretching” [43, 49] of  $\gamma$ . We call  $f$  the *majorization map*. A curve is *rectifiable* if it has finite length.

**Lemma 3.2** (Reshetnyak's Majorization Theorem [5, 40]). *Every closed rectifiable curve in a  $\text{CAT}(k_{\leq 0})$  space is majorized by a closed convex curve in  $\mathcal{M}_k^2$ .*

The above result allows us to replace  $\gamma_2$  in Theorem 3.1 by a curve in  $\mathcal{M}_k^2$ . The other major component of the proof will be a polygonal approximation. For distinct points  $p, q \in \mathcal{M}_k^2$ , let  $\vec{pq}$  denote the unit tangent vector to  $pq$  at  $p$  which points towards  $q$ , and set  $\angle(p, o, q) := \cos^{-1}(\langle \vec{op}, \vec{oq} \rangle)$  for ordered triples of points. A curve  $\gamma: [0, \ell] \rightarrow \mathcal{M}_k^2$  is *polygonal* if there are points  $0 := t_0 < \dots < t_{N+1} := \ell$  such that  $\gamma|_{[t_i, t_{i+1}]}$  is a unit speed geodesic, which is called an *edge* of  $\gamma$ . Then  $\gamma(t_i)$  form *vertices* of  $\gamma$  for  $1 \leq i \leq N$ . The *angle* of  $\gamma$  at each vertex is defined as  $\theta_\gamma(t_i) := \angle(\gamma(t_{i-1}), \gamma(t_i), \gamma(t_{i+1}))$ . An induction on the number of vertices, as in the proof of Cauchy's arm lemma [1, 41], shows:

**Lemma 3.3.** *Let  $\gamma_1, \gamma_2: [0, \ell] \rightarrow \mathcal{M}_k^2$  be chord-convex polygonal curves, with vertices at  $t_i \in (0, \ell)$ ,  $i = 1, \dots, N$ . Suppose that each edge of  $\gamma_1$  is equal in length to the corresponding edge of  $\gamma_2$ , and  $\theta_{\gamma_2}(t_i) \geq \theta_{\gamma_1}(t_i)$ . Then  $|\gamma_2(0)\gamma_2(\ell)| \geq |\gamma_1(0)\gamma_1(\ell)|$ .*

We assume that all  $\mathcal{C}^{m \geq 1}$  curves  $\gamma$  are immersed, i.e.,  $|\gamma'| \neq 0$ . A majorizing curve of a  $\mathcal{C}^2$  curve may not be  $\mathcal{C}^2$ . Thus we consider some generalized notions of geodesic curvature developed by Alexander-Bishop [9]. Let  $\gamma: [0, \ell] \rightarrow \mathcal{X}$  be a locally one-to-one unit speed curve. Fix  $t \in (0, \ell)$ . For  $r < t < s$  close to  $t$ , let  $\Delta(r, s) \subset \mathcal{M}_k^2$  be the triangle with side lengths equal to the distances between  $\gamma(r)$ ,  $\gamma(t)$ , and  $\gamma(s)$ . There exists a unique curve of constant curvature  $\alpha(r, s)$  in  $\mathcal{M}_k^2$  which circumscribes  $\Delta(r, s)$ . The upper and lower *osculating curvatures* of  $\gamma$  at  $t$  are defined respectively as

$$\overline{\text{osc}}\text{-}\kappa(t) := \limsup_{r, s \rightarrow t} \alpha(r, s), \quad \text{and} \quad \underline{\text{osc}}\text{-}\kappa(t) := \liminf_{r, s \rightarrow t} \alpha(r, s).$$

There exists also a curve of constant curvature  $\beta(r, s)$  in  $\mathcal{M}_k^2$  with a pair of points  $p, q$  such that the arc length distance  $\widehat{pq} = r + s$ , and the chord distance  $|pq|_{\mathcal{M}_k^2} = |\gamma(r)\gamma(s)|_M$ . The upper and lower *chord curvatures* of  $\gamma$  at  $t$  are defined respectively as

$$\overline{\text{chd}}\text{-}\kappa(t) := \limsup_{r, s \rightarrow t} \beta(r, s), \quad \text{and} \quad \underline{\text{chd}}\text{-}\kappa(t) := \liminf_{r, s \rightarrow t} \beta(r, s).$$

If  $\mathcal{X}$  is a Riemannian manifold and  $\gamma$  is  $\mathcal{C}^4$ , then all these curvatures coincide with the standard geodesic curvature  $\kappa(t)$  of  $\gamma$  [9]. This is also the case for any  $\mathcal{C}^2$  curve in  $\mathcal{M}_k^2$ . We need the following fact which is a quick consequence of [9, Cor. 3.4]:

**Lemma 3.4** ([9]). *Let  $\gamma: [0, \ell] \rightarrow \mathcal{X}$  be a locally one-to-one rectifiable curve, where  $\mathcal{X}$  is a CAT( $k$ ) space. Suppose that  $\overline{\text{chd}}\text{-}\kappa(t) \leq f(t)$  for a continuous function  $f: (0, \ell) \rightarrow \mathbf{R}$ . Then  $\overline{\text{osc}}\text{-}\kappa(t) \leq f(t)$  as well.*

*Proof.* Let  $t_0 \in (0, \ell)$ . For every  $\varepsilon > 0$ , there exists an open neighborhood  $U$  of  $t_0$  such that  $\overline{\text{chd}}\text{-}\kappa(t) < f(t_0) + \varepsilon$  for  $t \in U$ , since  $f$  is continuous. Thus, by [9, Cor. 3.4],  $\overline{\text{osc}}\text{-}\kappa(t) < f(t_0) + \varepsilon$  as well for  $t \in U$ . In particular  $\overline{\text{osc}}\text{-}\kappa(t_0) < f(t_0) + \varepsilon$ . So  $\overline{\text{osc}}\text{-}\kappa(t_0) \leq f(t_0)$ , which completes the proof.  $\square$

Now we establish the main result of this section:

*Proof of Theorem 3.1.* Join  $\gamma_2$  to its chord to obtain a closed curve. By Lemma 3.2 this curve is majorized by a curve in  $\mathcal{M}_k^2$ . The majorizing curve consists of a chord convex curve, say  $\tilde{\gamma}_2$ , and its own chord, which has the same length as the chord of  $\gamma_2$ . Note that  $\overline{\text{chd}}\text{-}\tilde{\kappa}_2 \leq \overline{\text{chd}}\text{-}\kappa_2$  by the majorization property.

First assume that  $\kappa_2 < \kappa_1$ . Then, after a perturbation, we may assume that  $\gamma_2$  is  $\mathcal{C}^\infty$ , which ensures that  $\overline{\text{chd}}\text{-}\kappa_2 = \kappa_2$ . So  $\overline{\text{chd}}\text{-}\tilde{\kappa}_2 \leq \kappa_2$ . Then  $\overline{\text{osc}}\text{-}\tilde{\kappa}_2 \leq \kappa_2 < \kappa_1$ , by Lemma 3.4. Replacing  $\gamma_2$  by  $\tilde{\gamma}_2$ , we write  $\overline{\text{osc}}\text{-}\kappa_2 < \kappa_1$ . There exist oriented polygonal curves  $\pi_i^N$  with  $N - 1$  edges of length  $\ell/N$  such that the initial point of  $\pi_i^N$  coincides with  $\gamma_i(0)$ , the vertices of  $\pi_i^N$  lie on  $\gamma_i$ , and the last vertex of  $\pi_i^N$  converges to  $\gamma_i(\ell)$  as  $N \rightarrow \infty$ . Since  $\overline{\text{osc}}\text{-}\kappa_2 < \kappa_1 = \underline{\text{osc}}\text{-}\kappa_1$ , angles of  $\pi_i^N$  will not be smaller than the corresponding

angles of  $\pi_1^N$  for large  $N$ . Thus, by Lemma 3.3, the chord of  $\pi_2^N$  is not smaller than that of  $\pi_1^N$  for large  $N$ . So letting  $N \rightarrow \infty$  completes the proof.

Next consider the case  $\kappa_2 \leq \kappa_1$ . We may assume that  $\gamma_1(0) \neq \gamma_1(\ell)$ . Let  $L \subset \mathcal{M}_k^2$  be the complete geodesic which contains  $\gamma_1(0)\gamma_1(\ell)$ . If  $\gamma_1$  meets  $L$  transversely at both ends, then  $\gamma_1$  remains chord-convex after a small perturbation. In particular we may replace  $\gamma_1$  with the curve  $\gamma_1^\varepsilon$  in  $\mathcal{M}_k^2$  with prescribed curvature  $\kappa_1 + \varepsilon$ , for  $\varepsilon > 0$ . Then, as discussed above,  $|\gamma_2^\varepsilon(0)\gamma_2^\varepsilon(\ell)| \geq |\gamma_1^\varepsilon(0)\gamma_1^\varepsilon(\ell)|$  and letting  $\varepsilon \rightarrow 0$  completes the proof.

So we may assume that  $\gamma_1$  is tangent to  $L$  at one of its ends, say  $\gamma_1(\ell)$ , and  $\gamma_1'(0)$  points towards  $\gamma_1(0)$ . If  $\gamma_1([0, \ell - \varepsilon]) \not\subset L$  for small  $\varepsilon > 0$ , then  $\gamma_1([0, \ell - \varepsilon])$  is chord-convex and transversal to the geodesic through its end points. So by the last paragraph  $|\gamma_2(0)\gamma_2(\ell - \varepsilon)| \geq |\gamma_1(0)\gamma_1(\ell - \varepsilon)|$  and letting  $\varepsilon \rightarrow 0$  completes the proof. Thus we may assume that a segment of  $\gamma_1$  near  $\ell$  lies on  $L$ . Let  $\ell' \in [0, \ell]$  be the smallest number such that  $\gamma_1([\ell', \ell]) \subset L$ . Then  $|\gamma_1(0)\gamma_1(\ell')| \leq |\gamma_2(0)\gamma_2(\ell')|$ , as we just showed, and since  $\gamma_i$  have unit speed,  $|\gamma_2(\ell')\gamma_2(\ell)| \leq \ell - \ell' = |\gamma_1(\ell')\gamma_1(\ell)|$ . So, since  $\gamma_1(\ell)$  lies between  $\gamma_1(0)$  and  $\gamma_1(\ell')$  on  $L$ ,  $|\gamma_1(0)\gamma_1(\ell)| = |\gamma_1(0)\gamma_1(\ell')| + |\gamma_1(\ell')\gamma_1(\ell)| \leq |\gamma_2(0)\gamma_2(\ell')| + |\gamma_2(\ell')\gamma_2(\ell)| \leq |\gamma_2(0)\gamma_2(\ell)|$ , as desired.  $\square$

**Note 3.5.** The proof of Theorem 3.1 shows that we have established something more general: the ambient space  $M$  of  $\gamma_2$  may be replaced by any  $\text{CAT}(k)$  space, where we relax the condition  $\kappa_2(t) \leq \kappa_1(t)$  to  $\overline{\text{chd}}\text{-}\kappa_2(t) \leq \kappa_1(t)$  for  $t \in (0, \ell)$ .

**Note 3.6.** As is the case in  $\mathbf{R}^n$  [50], Theorem 3.1 can likely be generalized to  $\mathcal{C}^{1,1}$  curves, where the pointwise inequality  $\kappa_2 \leq \kappa_1$  is replaced by  $\int_a^b \kappa_2 dt \leq \int_a^b \kappa_1 dt$  for every subinterval  $[a, b] \subset [0, \ell]$ .

#### 4. PROOFS OF THEOREMS 1.1 AND 1.2

Let  $M$  be a  $\text{CAT}^n(k_{\leq 0})$  manifold. We need the following special case of a theorem of Lang-Schroeder [33] who generalized Kirszbraun's extension theorem to  $\text{CAT}(k)$  spaces; see also [4] [5, Chp. 10].

**Lemma 4.1** ([33]). *Let  $S \subset \mathcal{M}_k^n$ . Then any nonexpanding map  $S \rightarrow M$  extends to a nonexpanding map  $\mathcal{M}_k^n \rightarrow M$ .*

Let  $\mathcal{X}, \mathcal{X}'$  be geodesic spaces,  $S \subset \mathcal{X}$  and  $S' \subset \mathcal{X}'$  be path connected subsets, and  $f: S \rightarrow S'$  be a bijection. We say  $f$  is an *extrinsic isometry* provided that  $|f(p)f(q)|_{\mathcal{X}'} = |pq|_{\mathcal{X}}$  for all  $p, q \in S$ . On the other hand,  $f$  is an (*intrinsic*) *isometry* if it preserves the lengths of curves in  $S$ . When  $S$  and  $S'$  are convex, the two notions coincide.

**Lemma 4.2.** *Let  $C \subset \mathcal{M}_k^n$  and  $C' \subset M$  be compact convex bodies. Suppose there exists an extrinsic isometry between boundaries of  $C$  and  $C'$ . Then  $C$  and  $C'$  are isometric.*

*Proof.* Let  $\Gamma$  and  $\Gamma'$  denote the boundaries of  $C$  and  $C'$  respectively, and  $f: \Gamma \rightarrow \Gamma'$  be an extrinsic isometry. By Lemma 4.1,  $f$  extends to a nonexpanding map  $\bar{f}: C \rightarrow M$ . We claim that  $\bar{f}$  is an isometry between  $C$  and  $C'$ . Let  $x_i$ ,  $i = 1, 2$ , be distinct points of  $C$ . Since  $M$  is a  $\text{CAT}^n(k_{\leq 0})$  manifold and  $C$  is compact,  $x_1x_2$  may be extended from each of its end points until it meets  $\Gamma$ , say at points  $y_1, y_2$  respectively. Let  $x'_i := \bar{f}(x_i)$ ,  $y'_i = \bar{f}(y_i)$  and  $(y_1y_2)' := \bar{f}(y_1y_2)$ . Then  $|y'_1y'_2| \leq |(y_1y_2)'| \leq |y_1y_2| = |y'_1y'_2|$ . Thus  $|(y_1y_2)'| = |y'_1y'_2|$  which yields that  $(y_1y_2)' = y'_1y'_2$ . In particular  $x'_i$  lies on  $y'_1y'_2$ . Consequently  $|y_1x_i| + |x_iy_2| = |y_1y_2| = |y'_1y'_2| = |y'_1x'_i| + |x'_iy'_2|$ . It follows that  $|y_1x_i| = |y'_1x'_i|$  and  $|x_iy_2| = |x'_iy'_2|$ . So  $|x_1x_2| = |y_1y_2| - |y_1x_1| - |y_2x_2| = |y'_1y'_2| - |y'_1x'_1| - |y'_2x'_2| = |x'_1x'_2|$ . Thus  $\bar{f}: C \rightarrow \bar{f}(C)$  is an extrinsic isometry. Also since  $(y_1y_2)' = y'_1y'_2$  and  $C'$  is convex,  $\bar{f}(C) \subset C'$ . It remains only to check that  $\bar{f}$  is onto. Given  $x' \in C'$ , let  $y'_1y'_2$  be a geodesic passing through  $x'$ , with  $y'_1, y'_2 \in \Gamma'$ . Let  $y_i := f^{-1}(y'_i)$ . Then  $(y_1y_2)' = y'_1y'_2$  as shown earlier. So  $x' \in \bar{f}(C)$ , which completes the proof.  $\square$

See [21, Sec. 2] for results similar to the last lemma. Next we establish a rigidity property of majorizing curves, which is known in  $\mathbf{R}^2$  [49]. A pair of subsets  $A, B$  of a metric space  $\mathcal{X}$  are *congruent* provided that there is an isometry, or *rigid motion*,  $f: \mathcal{X} \rightarrow \mathcal{X}$  with  $f(A) = B$ .

**Lemma 4.3.** *Let  $\gamma_1, \gamma_2$  be  $\mathcal{C}^2$  closed convex curves in  $\mathcal{M}_k^2$ . Suppose that  $\gamma_1$  majorizes  $\gamma_2$ . Then  $\gamma_1$  and  $\gamma_2$  are congruent.*

*Proof.* Let  $C_i$  be the convex bodies bounded by  $\gamma_i$ ,  $\gamma_i(t)$  be unit speed parametrizations where  $t \in \mathbf{R}/\ell$ , and  $f: \gamma_1 \rightarrow \gamma_2$  be the majorization map with  $f(\gamma_1(t)) = \gamma_2(t)$ . By assumption,  $|\gamma_1(t)\gamma_1(s)| \geq |\gamma_2(t)\gamma_2(s)|$  for all  $t, s \in \mathbf{R}/\ell$ . Let  $\kappa_i(t)$  denote the curvature of  $\gamma_i$ . Suppose that  $\kappa_1(t_0) > \kappa_2(t_0)$ , for some  $t_0 \in \mathbf{R}/\ell$ . After a rigid motion we may assume that  $\gamma_1(t_0) = \gamma_2(t_0) = o$ , and  $\gamma_1, \gamma_2$  are tangent to each other at  $o$ , and lie on the same side a geodesic which passes through  $o$ . Then there exists a neighborhood  $U$  of  $o$  in  $\gamma_1$  such that  $U \setminus \{o\}$  lies in the interior of  $C_2$ . It follows that  $|\gamma_1(t_0 - \varepsilon)\gamma_1(t_0 + \varepsilon)| < |\gamma_2(t_0 - \varepsilon)\gamma_2(t_0 + \varepsilon)|$ , for some  $\varepsilon > 0$ , which is a contradiction. Thus  $\kappa_1(t) \leq \kappa_2(t)$  for all  $t \in \mathbf{R}/\ell$ . Furthermore, if  $|C_i|$  denote the area of  $C_i$ , then  $|C_2| \leq |C_1|$ , since by definition  $f$  extends to a nonexpansive map  $C_1 \rightarrow C_2$ . Thus, by Gauss-Bonnet theorem,

$$2\pi - k|C_1| = \int_0^\ell \kappa_1(t) dt \leq \int_0^\ell \kappa_2(t) dt = 2\pi - k|C_2| \leq 2\pi - k|C_1|.$$

So  $\int_0^\ell \kappa_1(t) dt = \int_0^\ell \kappa_2(t) dt$ , which yields  $\kappa_1 \equiv \kappa_2$ . Hence  $\gamma_1$  and  $\gamma_2$  are congruent by the uniqueness of solutions to the geodesic curvature equation.  $\square$

Combining the last two observations with the generalized Schur's comparison theorem and Reshetnyak's majorization theorem, we obtain the following key result.

**Proposition 4.4.** *Let  $C \subset M$  and  $C' \subset \mathcal{M}_k^n$  be compact convex bodies with  $\mathcal{C}^2$  boundaries  $\Gamma$  and  $\Gamma'$  respectively. Suppose that there exists an isometry  $\Gamma \rightarrow \Gamma'$  which preserves the second fundamental form. Then  $C$  and  $C'$  are isometric.*

*Proof.* Let  $f$  be the isometry between  $\Gamma$ ,  $\Gamma'$ , and for any  $x \in \Gamma$  set  $x' := f(x)$ . By Lemma 4.2 it suffices to show that for every pair of points  $x, y \in \Gamma$ ,  $|xy|_M = |x'y'|_{\mathcal{M}_k^n}$ . Let  $\Pi$  be a totally geodesic complete surface in  $\mathcal{M}_k^n$  containing  $x'y'$ . We may assume that  $\Pi$  is transversal to  $\Gamma'$ . So  $\gamma' := \Pi \cap \Gamma'$  is a convex curve in  $\Pi$ . Let  $\widehat{x'y'}$  be one of the arcs connecting  $x', y'$  in  $\gamma'$ , and  $\widehat{xy} := f^{-1}(\widehat{x'y'})$  be the corresponding arc in  $\gamma := f^{-1}(\gamma')$ . Since  $f: \Gamma \rightarrow \Gamma'$  is an isometry which preserves the second fundamental form,  $f: \widehat{xy} \rightarrow \widehat{x'y'}$  preserves both the arc length and geodesic curvature of  $\widehat{xy}$ . Since  $\Pi$  is totally geodesic, the geodesic curvature of  $\widehat{x'y'}$  in  $\mathcal{M}_k^n$  is the same as its geodesic curvature in  $\Pi$ , which is isometric to  $\mathcal{M}_k^2$ . Thus, by Theorem 3.1,  $|xy|_M \geq |x'y'|_{\Pi}$ . Since  $\Pi$  is totally geodesic,  $|x'y'|_{\Pi} = |x'y'|_{\mathcal{M}_k^n}$ . So  $|xy|_M \geq |x'y'|_{\mathcal{M}_k^n}$ , or  $f$  is nonexpanding. To establish the reverse inequality note that by Reshetnyak's theorem (Lemma 3.2), there exists a convex curve  $\gamma'' \subset \Pi$  and a majorization map  $g: \gamma'' \rightarrow \gamma$ . Then  $f \circ g: \gamma'' \rightarrow \gamma'$  is a majorization map. So  $\gamma'$  and  $\gamma''$  are congruent by Lemma 4.3, which yields  $f \circ g$  is an extrinsic isometry. Thus  $f|_{\gamma}$  is noncontracting, i.e.,  $|xy|_M \leq |x'y'|_{\mathcal{M}_k^n}$ .  $\square$

The next observation is implicit in the work of Sacksteder [42, Sec. 4] for  $k = 0$ , and for  $k < 0$  is proved similarly via the projective model of the hyperbolic space [23]. A hypersurface  $\Gamma$  is *locally convex* if  $\mathbb{I}_{\Gamma}$  is positive semidefinite with respect to a choice of normal vector field. A *line* is a complete geodesic.

**Lemma 4.5** ([42]). *Let  $\Gamma$  be a complete infinitesimally convex  $\mathcal{C}^n$  hypersurface immersed in  $\mathcal{M}_k^n$ . Then either  $\Gamma$  contains a line of  $\mathcal{M}_k^n$ , or it is locally convex.*

*Proof.* Replacing  $\Gamma$  with its universal cover, we may assume that it is simply connected. First suppose that  $k = 0$ , or  $\mathcal{M}_k^n = \mathbf{R}^n$ . Let  $X$  be the set of flat points of  $\Gamma$ , i.e., where  $\mathbb{I}_{\Gamma}$  vanishes, and  $X_0$  be a component of  $X$ . By [42, Thm. 1] the inclusion map  $\Gamma \rightarrow \mathbf{R}^n$  embeds  $X_0$  in a convex subset of a hyperplane  $H_0$  (here is where the  $\mathcal{C}^n$  regularity assumption is used; see [42, Lem. 6] and the subsequent remark). Thus  $\Gamma \setminus X_0$  is connected unless  $X_0$  contains a line, in which case we are done. So we may assume that  $\Gamma \setminus X$  is connected. Consequently, we may choose a unit normal vector field  $N$  on  $\Gamma$ , which is the opposite of the mean curvature normal on  $\Gamma \setminus X$ . Then  $\mathbb{I}_{\Gamma}$  is positive semidefinite with respect to  $N$  as desired.

Next suppose that  $k < 0$ . We may assume that  $k = -1$  and identify  $\mathcal{M}_k^n$  with the unit ball  $B^n \subset \mathbf{R}^n$  by the Beltrami-Klein projective model of  $\mathbf{H}^n$ . Then  $\Gamma$  forms an infinitesimally convex hypersurface of  $\mathbf{R}^n$  [23, Sec. 5] with Cauchy boundary on  $\mathbf{S}^{n-1}$ .

Note that in the projective model, geodesics are line segments in  $\mathbf{R}^n$ . Thus, again by the proof of [42, Thm. 1],  $X_0$  forms a convex subset of  $H_0 \cap B^n$ ; see the proof of [3, Lem. 3] for a concise argument. So if the closure of  $X_0$  intersects  $\mathbf{S}^{n-1}$  in more than one point, then  $\Gamma$  contains a line and we are done. Otherwise  $\Gamma \setminus X$  does not separate  $\Gamma$ , and the rest of the argument proceeds as in the previous case.  $\square$

Now we are ready to prove our main results:

*Proof of Theorem 1.1.* Let  $\bar{\Gamma}$  be the universal Riemannian cover of  $\Gamma$ . By Proposition 2.1,  $\bar{\Gamma}$  is isometric to a complete immersed hypersurface  $\bar{\Gamma}'$  in  $\mathcal{M}_k^n$  with the same second fundamental form. So  $\bar{\Gamma}'$  is infinitesimally convex. Then, by Lemma 4.5, either  $\bar{\Gamma}'$  contains a line in  $\mathcal{M}_k^n$  or  $\bar{\Gamma}'$  is locally convex. In the former case,  $\bar{\Gamma}$  must contain a line in  $M$ , because a line of the ambient space lies on a hypersurface if and only if it is a line of the hypersurface, and the second fundamental form vanishes on tangent vectors of the line. So  $\Gamma$  contains a line in  $M$ . This is a contradiction since  $\Gamma$  is compact and lines in  $M$  are unbounded. Hence  $\bar{\Gamma}'$  is locally convex, which yields that so is  $\bar{\Gamma}$ . Consequently, by Alexander's theorem [2],  $\bar{\Gamma}$  is convex. In particular  $\bar{\Gamma}$  is embedded, which yields that  $\bar{\Gamma} = \Gamma$ . So  $\Gamma$  is convex. By Proposition 4.4, the convex bodies bounded by  $\Gamma$  and  $\Gamma'$  are isometric, which completes the proof.  $\square$

Next, to prove Theorem 1.2, we first record the following basic fact:

**Lemma 4.6.** *If  $M$  is compact, then it is convex and homeomorphic to a ball.*

*Proof.* Let  $p_0, p_1 \in \text{int}(M)$  be points in the interior of  $M$ , and  $\gamma: [0, 1] \rightarrow \text{int}(M)$  be a curve with  $\gamma(0) = p_0, \gamma(1) = p_1$ . Let  $\bar{t} \in [0, 1]$  be the supremum of  $t \in [0, 1]$  such that  $p_0\gamma(t) \subset \text{int}(M)$ . If  $\bar{t} \neq 1$ , then  $p_0\gamma(\bar{t})$  must be tangent to  $\partial M$ ; therefore, it lies in  $\partial M$  due to local convexity of  $\partial M$  [12], which is a contradiction. So  $\text{int}(M)$  is convex, which yields that  $M$  is convex. Now the exponential map based at an interior point of  $M$  yields a homeomorphism between  $M$  and a star-shaped domain in  $\mathbf{R}^n$ .  $\square$

Now we establish the intrinsic version of Theorem 1.1:

*Proof of Theorem 1.2.* Let  $\Gamma_i$  denote the components of  $\Gamma$ . Since  $\Gamma_i$  is simply connected, there exists an isometric embedding  $f: \Gamma_i \rightarrow \Gamma'_i \subset \mathcal{M}_k^n$  preserving  $\mathbb{I}_{\Gamma_i}$ , by Proposition 2.1. By do Carmo-Warner's theorem [23, Sec. 5],  $\Gamma'_i$  is convex, which yields that  $\mathbb{I}_{\Gamma_i}$  is positive semidefinite with respect to some normal vector field. By assumption,  $\mathbb{I}_{\Gamma_i}$  has a positive eigenvalue at some point with respect to the outward normal  $N$ . So  $\Gamma_i$  must be locally convex with respect to  $N$ . Hence  $M$  is a  $\text{CAT}^n(k_{\leq 0})$  manifold. Thus  $\Gamma$  is connected by Lemma 4.6, or  $\Gamma_i = \Gamma$ , and  $M$  is a convex body (as a subset of itself). Let

$M'$  be the convex body in  $\mathcal{M}_k^n$  bounded by  $\Gamma' = f(\Gamma)$ . Then  $f$  is an isometry between boundaries of  $M$  and  $M'$ . So  $M$  and  $M'$  are isometric by Proposition 4.4.  $\square$

**Note 4.7.** In the application of Lemma 4.5 in Theorem 1.1 we could have used the fact that  $\Gamma$  is *strictly convex* at one point, since it is compact. This would quickly resolve the case of  $\mathbf{R}^n$  in Lemma 4.5, because it would force  $\Gamma$  to be convex by Sacksteder's theorem [42]; however, there are complete surfaces in  $\mathbf{H}^3$  which are infinitesimally convex, and are strictly convex at one point, but are not convex [48, p. 84].

**Note 4.8.** Once convexity of  $\Gamma$  and  $\Gamma'$  in the above arguments has been established, one may glue the complement of the convex body bounded by  $\Gamma'$  to the convex body bounded by  $\Gamma$  to obtain a geodesically complete CAT( $k$ ) space  $\mathcal{X}$  [32]; however,  $\mathcal{X}$  may not be a smooth Riemannian manifold a priori. If a gap theorem [11, 28, 44, 46] can be generalized to singular spaces to ensure that  $\mathcal{X}$  has constant curvature, it would yield an alternative approach to the results above.

## 5. TOTAL ABSOLUTE CURVATURE

Here we establish an analogue of Corollary 1.3 for surfaces of genus  $g \geq 1$ . Recall that  $\Gamma_0$  denotes the boundary of the convex hull of  $\Gamma$ .

**Proposition 5.1.** *Let  $\Gamma$  be a closed  $\mathcal{C}^{1,1}$  surface immersed in a  $\text{CAT}^3(k_{\leq 0})$  manifold  $M$ . Then*

$$(6) \quad \tilde{\mathcal{G}}(\Gamma) \geq 4\pi - k|\Gamma_0|,$$

*with equality only if  $K_M \equiv k$  on support planes of  $\Gamma_0$ , and  $GK_\Gamma \geq 0$  everywhere.*

*Proof.* Let  $\Gamma_0^\varepsilon$  denote the outer parallel surface of  $\Gamma_0$  at distance  $\varepsilon > 0$ . Then  $\Gamma_0^\varepsilon$  is  $\mathcal{C}^{1,1}$  [25, Lem. 2.6] and thus by Rademacher's theorem its total curvature  $\mathcal{G}(\Gamma_0^\varepsilon)$  is well-defined. The total curvature of  $\Gamma_0$  is defined as

$$\mathcal{G}(\Gamma_0) := \lim_{\varepsilon \rightarrow 0} \mathcal{G}(\Gamma_0^\varepsilon).$$

It is known that  $\varepsilon \mapsto \mathcal{G}(\Gamma_0^\varepsilon)$  is a monotone function which does not increase as  $\varepsilon \rightarrow 0$  [25, Sec. 6]. Furthermore  $\mathcal{G}(\Gamma_0^\varepsilon) \geq 0$  since  $\Gamma_0^\varepsilon$  is convex, due to the fact that distance from a convex set in a  $\text{CAT}^n(0)$  manifold is a convex function [14, Cor. 2.5]. Thus  $\mathcal{G}(\Gamma_0)$  exists. By (2) and Gauss-Bonnet theorem,

$$(7) \quad \mathcal{G}(\Gamma_0^\varepsilon) = \int_{\Gamma_0^\varepsilon} K_{\Gamma_0^\varepsilon} - \int_{\Gamma_0^\varepsilon} K_M(T_p \Gamma_0^\varepsilon) \geq 4\pi - k|\Gamma_0^\varepsilon| \geq 4\pi - k|\Gamma_0|.$$

Here we have also used the fact that  $|\Gamma_0^\varepsilon| \geq |\Gamma_0|$ , which holds since projection by the nearest point mapping into a convex set is nonexpanding [14, Cor. 2.5]. So  $\mathcal{G}(\Gamma_0) \geq$

$4\pi - k|\Gamma_0|$ . Let  $\mathcal{G}_+(\Gamma) := \int_{\Gamma_+} GK_\Gamma$ , where  $\Gamma_+ \subset \Gamma$  is the region with  $GK_\Gamma \geq 0$ . Then

$$(8) \quad \tilde{\mathcal{G}}(\Gamma) \geq \mathcal{G}_+(\Gamma) \geq \mathcal{G}(\Gamma \cap \Gamma_0) = \mathcal{G}(\Gamma_0) \geq 4\pi - k|\Gamma_0|,$$

where the middle equality is due to Kleiner [31], see [25, Prop. 6.6]. If equality holds in (6), then equalities hold in (8). In particular  $\mathcal{G}(\Gamma_0) = 4\pi - k|\Gamma_0|$ , which yields  $\mathcal{G}(\Gamma_0^\varepsilon) \rightarrow 4\pi - k|\Gamma_0|$ , as  $\varepsilon \rightarrow 0$ . So (7) implies that  $\int_{\Gamma_0^\varepsilon} K_M(T_p\Gamma_0^\varepsilon) \rightarrow k|\Gamma_0|$ . Since  $K_M \leq k$ , it follows that  $K_M(T_p\Gamma_0^\varepsilon) \rightarrow k$ . But  $T_p\Gamma_0^\varepsilon$  converge to support planes of  $\Gamma_0$ . Consequently,  $K_M \equiv k$  on support planes of  $\Gamma_0$ . Finally, equalities in (8) include  $\tilde{\mathcal{G}}(\Gamma) = \mathcal{G}_+(\Gamma)$ , which yields  $GK_\Gamma \geq 0$ .  $\square$

**Note 5.2.** It is unknown whether closed surfaces with  $GK \geq 0$  in a  $\text{CAT}^3(k_{\leq 0})$  manifold are convex [2, Rem. 4]; otherwise, Proposition 5.1 would imply via Theorem 1.1 that  $\Gamma$  bounds a  $k$ -flat convex body, and solve Gromov's problem in all cases.

**Note 5.3.** If Theorem 1.1 holds for  $\mathcal{C}^{1,1}$  hypersurfaces (see Notes 2.3 and 3.6), and one can show that  $\Gamma_0$  is  $\mathcal{C}^{1,1}$ , then Proposition 5.1 solves Gromov's problem in all cases. In  $\mathbf{R}^n$  it is already known that the convex hull of a closed  $\mathcal{C}^{1,1}$  hypersurface is  $\mathcal{C}^{1,1}$  [25, Note 6.8]. See also [13, 35] for regularity properties of convex hulls in Riemannian manifolds.

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